

Understanding Alkali Cation-Assisted Ring-Opening Polymerization of Macrocyclic Carbonate: Kinetics and Thermodynamics

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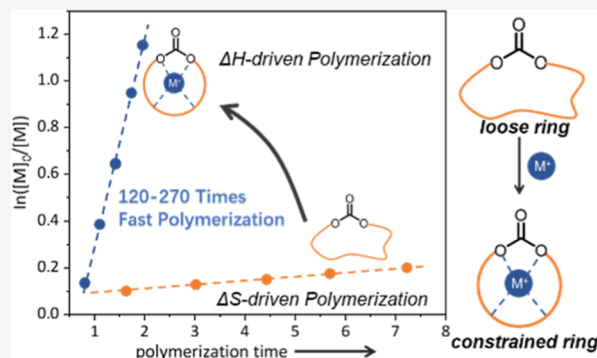


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Supporting Information

ABSTRACT: Control over polymerization thermodynamics and kinetics enables the generation of polymers with on-demand properties. This is exemplified by the ring-opening polymerization of tetraethylene glycol carbonate (4EGMC) using an alkali cation (M^+)-based binary catalytic system at ambient temperature. By introducing a guanidine catalyst [(1,5,7-triazabicyclo[4.4.0]dec-5-ene), TBD], the alkali cation-assisted ring-opening polymerization of macrocyclic carbonate was *ca.* 120–270 times faster than the reaction without an alkali cation, M^+ ($0.16\text{--}0.36\text{ min}^{-1}$ with M^+ vs 0.001 min^{-1} without M^+). Moreover, the interaction between 4EGMC and M^+ led to an increase in the ring strain, supported by both bench experiments and computational simulations. This interaction altered the driving force of polymerization from the change of entropy to enthalpy, which revealed the pivotal role of alkali cations in regulating the ring-opening polymerization of macrocyclic carbonate.



INTRODUCTION

Engineering polymers with on-demand physical properties through controllable polymerization accelerates the innovation in polymer production.^{1–3} Ring-opening polymerization (ROP),^{4–6} one of the most important methods of polymerization, has been applied to the generation of versatile polymers.^{7–13} For cyclic monomers including lactides, lactones, etc., polymerization occurs through an ester bond¹⁴ assisted by both the release of the ring strain and the change of conformational and translational entropy, yielding a well-defined polymer structure. While the field in the design of benign catalytic systems to active ester bonds, thereby kinetically regulating the polymerization, is significantly developed,^{15–20} studies focusing on modulating the thermodynamics to influence the outcome of polymerization remain a challenge, resulting from the ring strain and the change of enthalpy, ΔH_p , of a given cyclic structure are difficult to be affected by external conditions.

Among the available experimental conditions to control equilibrium chain growth polymerization thermodynamics, changing solvents and/or initial monomer concentrations to enhance the solvation effect^{21–23} between the monomer, polymer, and solvent were recently reported.²⁴ Albertsson et al. demonstrated the thermodynamics correlated with the surrounding solvent medium, where polymerization in toluene had a high ΔH_p value in comparison to that in acetonitrile (-22.0 vs $-10.1\text{ kJ}\cdot\text{mol}^{-1}$, 1 M initial concentration of monomer). The hypothetical explanation of this result was that

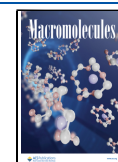
the interaction between solvents and monomers affected the monomer conformations, thereby driving the change of ring strain, thus leading to the change in thermodynamics.²⁵ Lately, an in-depth understanding reported by Odelius et al. revealed that both monomer–solvent and polymer–solvent interactions contributed to thermodynamics.²⁶ Apart from the solvation effect, we may ask what other conditions could be controlled to manipulate the ring strain and ΔH_p ?

Some of us have recently developed a simple approach to access macrocyclic carbonates (MCs) through the selective depolymerization of polycarbonates. The ring structures of attained MCs were associated with little to no ring strains, thus leading to entropy-driven ROP of MCs.^{27,28} We hypothesize that the thermodynamics of ROP might be altered to be enthalpy-driven through constraining MCs by the supramolecular interaction with auxiliary, thus increasing the ring strain and ΔH_p . To this end, inspired by the electrostatic interaction between the crown ether and an alkali cation (M^+)^{29–31} yielding a supramolecular complex to alter the ring strain of crown ether,^{32,33} an ethyleneoxy-substituted macrocyclic carbonate (tetraethylene glycol carbonate, 4EGMC) to

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Scheme 1. Solvation Effect Leads to the Difference in Polymerization Thermodynamics and Our Work Using Alkali-Based Catalysts to Control Both Thermodynamics and Kinetics

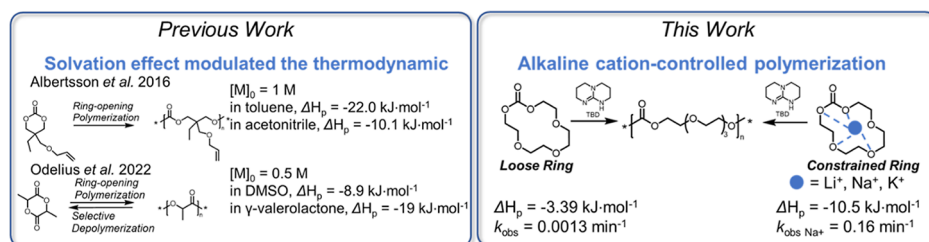


Table 1. Ring-Opening Polymerization of 4EGMC Using TBD with NaPF₆ at Ambient Temperature^a

entry	[I] ₀ /[TBD] ₀ /[Na ⁺] ₀ /[M] ₀	t/min	conv. (%) ^b	M _n theo (kg mol ⁻¹) ^c	M _n NMR (kg mol ⁻¹) ^b	M _n SEC THF (kg mol ⁻¹) ^d	Đ _{THF} ^d	M _n SEC DMF (kg mol ⁻¹) ^e	Đ _{DMF} ^e
1	1/1/2/20	30	94	4.2	4.3	5.9	1.15	10.3	1.40
2	1/1/0/20	24 h	79	3.6	3.7	5.6	1.11	10.8	1.23
3	1/0/2/20	30	0	-	-	-	-	-	-
4	1/1/0.4/20	30	45	2.1	2.1	5.3	1.10	7.2	1.24
5	1/1/1/20	30	82	3.7	3.6	5.8	1.14	12.9	1.20
6	1/1/3/20	30	94	4.2	4.0	5.9	1.15	13.4	1.19
7	1/1/5/50	60	96	10.6	12.5	6.6	1.23	16.6	1.22
8	1/1/10/100	120	94	20.8	24.0	7.1	1.27	17.6	1.22

^aConditions: [M]₀ = 1 M, rt in THF, TBD and NaPF₆ as the catalysts, and BnOH as the initiator. ^bDetermined by ¹H NMR in CDCl₃, conv. = $I_{4.28}/(I_{4.28} + I_{4.38}) \times 100\%$, M_n NMR = DP × 220 + 108. ^cTheoretical molecular weight of M_n theo = conv. × ([M]₀/[I]₀) × 220 + 108. ^dTHF SEC with polystyrene standards. ^eDMF SEC with polystyrene standards.

imitate crown ether was designed, aiming to verify our hypothesis that the thermodynamics of ROP of 4EGMC can be modulated by an auxiliary. We here combined commercially available organocatalysts (1,5,7-triazabicyclo[4.4.0]dec-5-ene, TBD) with alkali cations (lithium, Li⁺, sodium, Na⁺, and potassium, K⁺) as a binary catalytic system for ROP of 4EGMC. To our surprise, using TBD/M⁺, not only thermodynamics can be modulated but also the kinetics depicted fast polymerization, ca. 120–270 times over TBD-catalyzed ROP. The polymerization was investigated through both bench and theoretical studies, revealing the key role of the alkali cation for kinetics and thermodynamics (Scheme 1).

RESULTS AND DISCUSSION

In the initial investigation into the alkali cation-based binary catalytic system for ROP of 4EGMC, TBD in combination with a commercially available cheap salt was employed such as sodium hexafluorophosphates (NaPF₆). The anion of [PF₆]⁻ was selected due to its nature of a noncoordinating counterion in solution affording the negligible weak interaction with a cation.³⁴ The initial ROP of 4EGMC was performed in tetrahydrofuran (THF) using benzyl alcohol (BnOH) as the initiator and TBD/NaPF₆ as the catalyst at ambient temperature with a ratio of [initiator]₀/[TBD]₀/[NaPF₆]₀/[MC]₀ = 1:1:2:20. After 30 min, the ¹H NMR analysis of an aliquot of reaction mixture revealed the generation of poly(tetraethylene glycol carbonate), P4EGMC, with a high conversion of 4EGMC (94 mol %) and a M_n value of 5.9 kg mol⁻¹ with a narrow dispersity (Đ_M = 1.15) characterized by SEC (Table 1, entry 1, and Figure S1). To examine the activity of binary catalysts, control experiments using either TBD or a sodium cation (Na⁺), at the same conditions, were performed, respectively. While TBD showed slow kinetics (79 mol % after 24 h), no conversion of 4EGMC was observed when catalyzed by NaPF₆, demonstrating the synergic activity of

TBD and Na⁺ (Table 1, entries 2 and 3). While M_n characterized by NMR (M_n NMR) was consistent with theoretical M_n (M_n theo), M_n determined by SEC (M_n SEC THF, Figure S2) was not correlated with M_n NMR and the molecular weight design (Table 1, entries 4–8), resulting from the interaction between the resulting polymer, THF eluent, and stationary phase causes anomalous elution^{35–38} such that polycarbonates with both low and high molecular weight were eluted together, commonly seen in a branched polymer.³⁹ To compare the influence of elution on P4EGMC in different solvents, we applied dimethylformamide (DMF) as the eluent for SEC analysis. A similar elution behavior that M_n SEC DMF showed no correlation with M_n NMR was revealed. The structure of P4EGMC obtained by Na⁺-assisted ROP was revealed by matrix-assisted laser desorption ionization time-of-flight (MALDI-ToF). The main population of the polymer was associated with a constant repeating unit distribution (*m/z* = 220.09, 4EGMC), accompanied by a minor population derived from transesterification with tetraethylene glycol as the chain ends (Figure S3).

To understand this synergic catalyzation process, the interaction between 4EGMC and Na⁺ needs to be explored. Crown ether enables to bind to a certain cation forming a stable complex through an electrostatic interaction between lone pairs of electrons of oxygen atoms on the ring and the cation.^{40–42} We therefore postulated that 4EGMC with high electron density could accommodate Na⁺ to yield a complex. ¹H NMR spectroscopy analysis of the mixture of 4EGMC/Na⁺ with different ratios depicted a maximum downfield shift of α proton of the carbonate group by +0.079 ppm, demonstrating the interaction between 4EGMC and Na⁺ (Figure S4). Moreover, Job's plot depicted a maximum at χ = 0.5, revealing a complex with a 1:1 ratio of 4EGMC and Na⁺ in the solution (Figure 1, top). To explore the structure of complexes, the single crystal obtained by X-ray diffraction was applied as a

the reverse reaction of TBD-catalyzed nucleophilic addition, $\Delta = 3.21 \text{ kcal}\cdot\text{mol}^{-1}$ is sufficient to form a macrocycle and TBD/initiator complex (Figure 2a), which corroborates with the

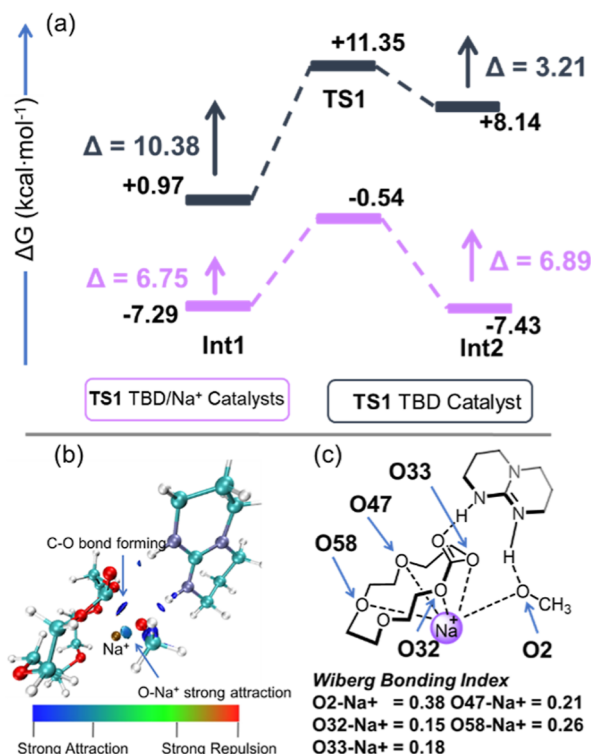


Figure 2. (a) Energetic profiles with optimized structures for both TBD- and TBD/Na⁺-catalyzed nucleophilic addition; methanol was used as the initiator to reduce the cost of calculation; (b) selected region of the isosurface map of IRI for the transition state (TS1) reveals the strong interaction between Na⁺ and methoxide;⁴⁸ and (c) analysis of WBI suggests that the interaction between methoxide and Na⁺ is strong over the oxygen on the 4EGMC ring.

experimental result that the TBD-catalyzed ROP of 4EGMC is associated with a slow kinetics, *ca.* 120 times slower than the TBD/Na⁺-mediated reaction. As such, we assume that Na⁺ chelates to both the macrocycle and the initiator, thereby lowering the energy barrier for TS1. The interaction region indicator (IRI) for TS1 demonstrated that Na⁺ from the macrocyclic complex interacted with the initiator through a relatively strong coordination (Figure 2b). Notably, the analysis of WBI revealed the interaction between Na⁺ and the initiator (WBI = 0.38) was superior as compared to the coordination with the macrocycle (WBI = 0.15–0.26) (Figure 2c).

With the insight into the mechanism of alkali-assisted ROP, we postulate that 4EGMC might affiliate one of alkaline cations (Li⁺, Na⁺, and K⁺) in prior.⁴² Thus, the effect of different cations on the catalytic efficiency was examined (Li⁺, Na⁺, and K⁺). Job's plots of Li⁺ or K⁺ with 4EGMC revealed a similar complex to Na⁺/4EGMC (i.e., 1:1 ratio between the cation and the macrocycle) (Figures 3a,b, S12, and S13). Nevertheless, the strongest interaction was revealed between lithium and 4EGMC through the analysis of WBI, concluding an order of affinities for alkali cations with 4EGMC (Li⁺ > Na⁺ > K⁺) (Figure S14). The kinetic studies were performed at ambient temperature with a ratio $[I]_0/[TBD]_0/[metal\ cation]_0/[M]_0 = 1:1:2:20$, $[M]_0 = 1 \text{ M}$ in THF. The

semilogarithmic plots of ROP of 4EGMC catalyzed by different cations with TBD showed the first-order polymerization, where the observed rate constant (k_{obs}) of the Li⁺-mediated reaction is the highest among these cations, and Na⁺ is the lowest (Li⁺ k_{obs} 0.36 min^{-1} > K⁺ k_{obs} 0.23 min^{-1} > Na⁺ k_{obs} 0.16 min^{-1} , Figure 3c). Moreover, the polymerization of 4EGMC assisted by alkali cations revealed comparable molecular weights and dispersity characterized by ¹H NMR and SEC ($M_n \text{ NMR} = 4.0\text{--}4.3 \text{ kg mol}^{-1}$, $M_n \text{ SEC} = 5.8\text{--}6.3 \text{ kg mol}^{-1}$, and $\bar{D} = 1.13\text{--}1.20$, Table S3). In comparison with TBD-catalyzed polymerization ($k_{obs} = 0.0013 \text{ min}^{-1}$), the addition of alkali cations shows extremely rapid kinetics with *ca.* 120–270 times over the TBD-catalyzed reaction.

We hypothesize that this cation-dependent k_{obs} could be correlated with the difference in binding energy (ΔE_c) between the macrocycle and alkali cations, where Li⁺ is associated with a low ΔE_c over Na⁺ and K⁺. However, results of calculation revealed that ΔE_c of K⁺/4EGMC was the lowest with an order Na⁺ > Li⁺ > K⁺ ($-5.4 \text{ kcal}\cdot\text{mol}^{-1}$ > $-6.74 \text{ kcal}\cdot\text{mol}^{-1}$ > $-10.9 \text{ kcal}\cdot\text{mol}^{-1}$, Table S2). Sawamoto et al. reported that polyethylene glycol with functional chain ends can be tethered by certain alkali cations through the coordination affording pseudo-cyclic structures, which suggests the strong affinity of polyethylene glycol with alkali cations.⁴⁹ Therefore, we assume that the linear polycarbonate (P4EGMC) can also accommodate the cations. To simulate the binding energy for P4EGMC with cations, Job's plots were first performed to determine the stoichiometry of the interaction. The results revealed a 1:1 ratio complex for Na⁺/P4EGMC and K⁺/P4EGMC, while P4EGMC interacted with two Li⁺ (i.e., $[P4EGMC]/[Li^+] = 1:2$) to form the complex (Figures S15–S18). The computational study demonstrated that the combination of P4EGMC and cations allowed the generation of thermodynamically favored complexes with the high binding energies of *ca.* -59.1 to $-72.8 \text{ kcal}\cdot\text{mol}^{-1}$ (Table S2). This differs from the case of Na⁺ and ethyleneoxy-substituted ester that the strong interaction between cyclic ester and Na⁺ was observed.⁵⁰ Interestingly, the difference in relative binding energy between cyclic and corresponding linear formations corroborated the order of observed polymerization rate constant (k_{obs} Li⁺ > K⁺ > Na⁺), where $\Delta\Delta E_{bc}$ of the Li⁺-related complex is the lowest ($53.7 \text{ kcal}\cdot\text{mol}^{-1}$), while K⁺ with a $\Delta\Delta E_{bc}$ value of $58 \text{ kcal}\cdot\text{mol}^{-1}$ and Na⁺ with a $\Delta\Delta E_{bc}$ value of $66.1 \text{ kcal}\cdot\text{mol}^{-1}$ (Figure 4). We hypothesize that Li⁺ can rapidly shuttle between the monomer and polymer during the polymerization process, in comparison to the K⁺- and Na⁺-catalyzed reaction, thereby leading to the fastest polymerization.

Cations depicted strong affinities to the open chain structures with high binding energies, which may slow the ROP and simultaneously promote the transesterification of the polymer chain. To verify the activity of the catalyst for transesterification, calculation for the step of nucleophilic addition to form a tetrahedral intermediate was performed (Int1 to Int2). The results revealed that TS1 for the cyclic structure presented a lower energy barrier than that of linear formation by *ca.* two times ($6.7 \text{ kcal}\cdot\text{mol}^{-1}$ vs $15.8 \text{ kcal}\cdot\text{mol}^{-1}$). In addition, Int2 for linear carbonate is the thermodynamically disfavored ($+5.86 \text{ kcal}\cdot\text{mol}^{-1}$) which readily reverses to Int1, while the tetrahedral cyclic structure is relatively stable ($-0.1 \text{ kcal}\cdot\text{mol}^{-1}$). Thus, it is not surprising that the polymerization of 4EGMC assisted by alkali cations with a controlled manner

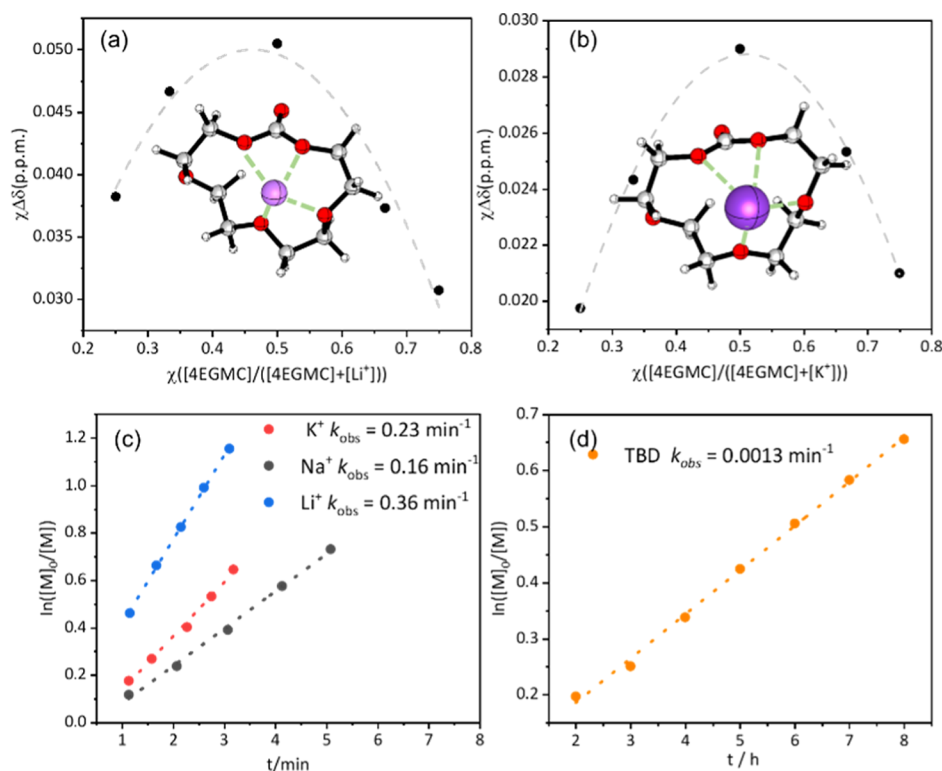


Figure 3. Job plots were obtained from ^1H NMR measurements of 4EGMC with LiPF_6 or KPF_6 , $[4\text{EGMC}]_0 = 0.1 \text{ M}$ in CD_3CN ; the structures were optimized at the B3LYP-D3/6-311+G(2d,p) level (a,b); (c) semilogarithmic kinetic plot of the ROP of 4EGMCs using TBD with different cations; (d) kinetic plot of the ROP of 4EGMCs catalyzed by TBD; experimental conditions: $[M]_0 = 1 \text{ M}$, rt in THF, BnOH as the initiator, $[\text{BnOH}]_0/[\text{cation}]_0/[\text{TBD}]_0/[4\text{EGMC}]_0 = 1:0:1:20$, 1 mL THF, at ambient temperature; and $\ln([M]_0/[M]) = k_{\text{obs}}t$.

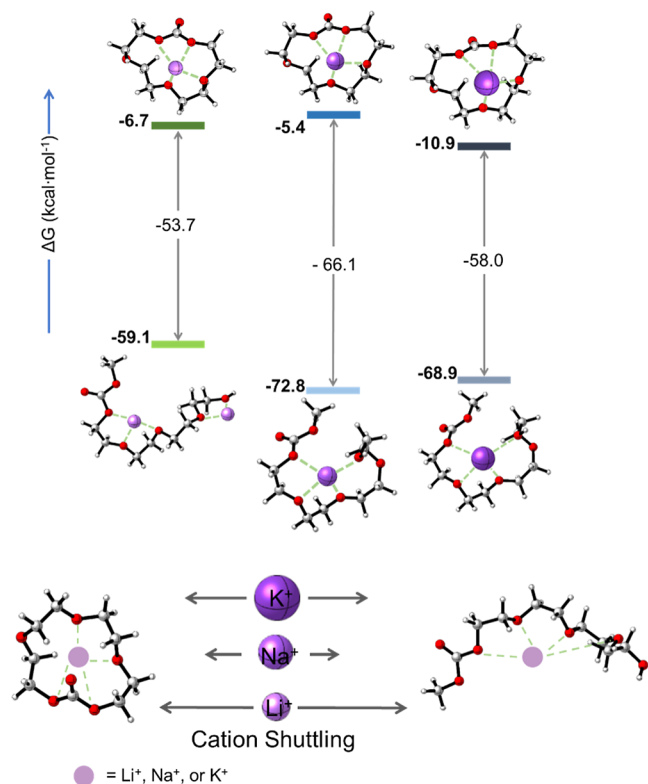


Figure 4. Energetic profiles with optimized structures for binding energies of cyclic or linear carbonate formation with different cations at the B3LYP/def2-TZVP(PCM, solvent = THF)//B3LYP-D3/6-311+G(2d,p) level.

is associated with fast kinetics, albeit the strong affinity

between P4EGMC and cations (Figure 5).

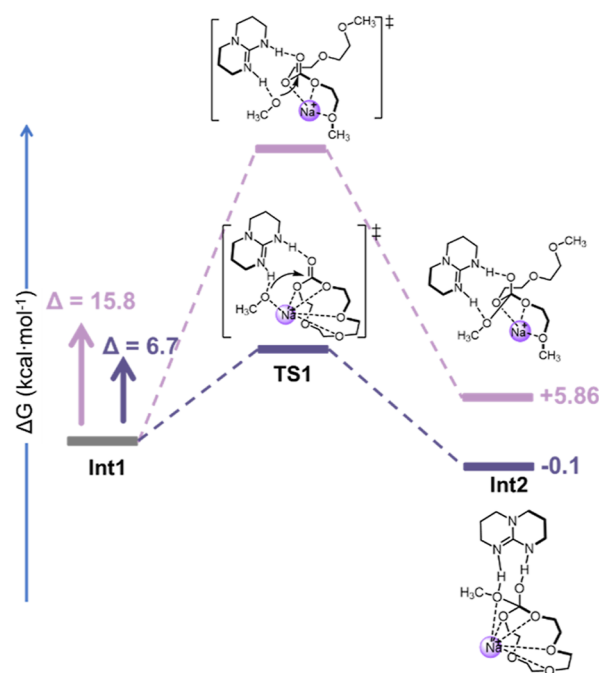


Figure 5. Energetic profiles for the nucleophilic addition of methanol to cyclic or linear carbonate at the B3LYP/aug-cc-PVTZ(PCM, solvent = THF)//B3LYP-D3/6-311+G(2d,p) level.

CONCLUSIONS

A fast kinetics of ROP of macrocyclic carbonate was achieved through a catalytic combination of TBD and alkali cation at ambient temperature. The thermodynamic study, supported by both experiments and DFT calculations, revealed the sodium cation coordinated with MC, leading to a constrained ring, thereby changing the thermodynamic-driven force for polymerization from entropy- to enthalpy-driven. Moreover, the DFT-calculated reaction demonstrates that the sodium cation is the crucial catalyst for the polymerization as the coordination of Na⁺ with MC and the initiator affording thermodynamically favored intermediates and transition states. Surprisingly, the order in the catalytic activities of Li⁺, Na⁺, and K⁺ was not associated with the corresponding binding energy to MC but was a result of the ability of the cation to shuttle between MC and the polymer chain (i.e., the relative binding energy).

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.macromol.3c01311>.

General method, synthesis details, ¹H NMR and ¹³C NMR spectra, SEC curves, reaction kinetics, and computational details (PDF)

Crystallographic data of complex 4EGMC (CIF)

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Notes

The authors declare no competing financial interest.

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